

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/749,962 Confirmation No. 2164

Applicants : Chandrika Govardhan et al.

Filed : December 31, 2003

TC/A.U. : 1641

Examiner : Christine J. Saoud

Docket No. : Altus-4 Customer No. : 1473

For : HUMAN GROWTH HORMONE CRYSTALS AND

METHODS FOR PREPARING THEM

"EXPRESS MAIL" mailing label number EV371748245US

Date of Deposit: January 11, 2005

I hereby certify that this certification and the following papers and fees:

- Transmittal Letter (in duplicate);
- 2. Information Disclosure Statement under 37 C.F.R.
  §§ 1.56 and 1.97(b);
- Form PTO/SB/08A (in duplicate);
- 4. 33 cited documents; and
- 5. Postcard Receipt

are being deposited with the United States Postal Service "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to Mail Stop Amendment, Hon. Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22813-1450.

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Mail Stop Amendment January 11, 2005

Commissioner for Patents New York, New York 10020

P.O. Box 1450

Alexandria, VA 22313-1450

# TRANSMITTAL LETTER FOR INFORMATION DISCLOSURE STATEMENT

Sir:

Transmitted herewith is an Information Disclosure Statement in the above-identified application. This Statement is being submitted more than three months from the application filing date but before the mailing of a first Office Action on the merits under 37 C.F.R. § 1.97(b)(3), or a Notice of Allowance under 37 C.F.R. § 1.311, therefore it is timely without the payment of any additional fees.

The Director is hereby authorized to charge payment of any additional fees required in connection with the accompanying Information Disclosure Statement, or credit any overpayment, to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

Respectfully submitted,

Margaret A. Pierri (Reg. No. 30,709)

Attorney for Applicants

Wendy A. Petka (Reg. No. 53,459)

Agent for Applicants

Customer No. 1473

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FOR PREPARING THEM

Mail Stop Amendment January 11, 2005

New York, New York 10020 Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.56 AND § 1.97(b)

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), applicants, through their attorneys, make of record the following documents, copies of which are enclosed herewith\*:

# United States Patents and Patent Applications

4,342,832, Goeddel et al., issued August 3, 1982

4,816,568, Hamilton, Jr. et al., issued March 28, 1989

4,917,685, Viswanathan et al., issued April 17, 1990

5,633,352, Dalbøge et al., issued May 27, 1997

A completed Form PTO/SB/08A listing these documents is attached hereto.

5,654,010, Johnson et al., issued August 5, 1997 5,667,808, Johnson et al., issued September 16, 1997 5,691,169, Dalbøge et al., issued November 25, 1997 5,705,482, Christensen et al., issued January 6, 1998 5,734,026, Florin-Robertsson et al., issued March 31, 1998 5,780,599, Junker et al., issued July 14, 1998 5,788,959, Singh, issued August 4, 1998 5,849,700, Sørensen et al., issued December 15, 1998 5,849,704, Sørensen et al., issued December 15, 1998 5,981,485, O'Connor et al., issued November 9, 1999 6,022,858, Sorensen et al., issued February 8, 2000 6,066,470, Nishimura et al., May 23, 2000 6,117,984, Junker et al., issued September 12, 2000 6,267,981 B1, Okamoto et al., issued July 31, 2001 6,309,859 B1, Nishimura et al., issued October 30, 2001 6,359,118 B1, Margolin et al., issued March 19, 2002 6,376,461 B1, Igari et al., issued April 12, 2002 6,448,225 B2, O'Connor et al., issued September 10, 2002 6,541,606 B2, Margolin et al., issued April 1, 2003 2002/0009491 A1, Rothbard et al., issued January 24, 2002 2002/0142050 A1, Straub et al., issued October 3, 2002 2003/0013653 A1, O'Connor et al., issued January 16, 2003 2003/0099663 A1, Fleitmann et al., issued May 29, 2003 2004/0029777 A1, Ando et al., issued April 9, 2003

#### PCT Patent Applications

WO 92/00998, Junker et al., published January 23, 1992
WO 94/03198, O'Connor et al., published February 17, 1994
WO 95/28174, Clark et al., published October 26, 1995
WO 96/07397 A2, Cherif-Cheikh et al., published March 14, 1996
WO 96/07399 A1, Igari et al., published March 14, 1996
WO 96/21459, Christensen et al., published July 18, 1996
WO 96/21460, Christensen et al., published July 18, 1996
WO 97/01331, Okamoto et al., published January 16, 1997
WO 99/55310, Margolin et al., published November 4, 1999
WO 01/24822 A2, Fleitmann et al., published April 12, 2001

#### Australian Patent

AU 686,567, O'Connor et al, issued February 12, 1998

## Canadian Patent

CA 2,086,087, Junker et al., issued September 14, 1999 CA 2,147,482, Florin-Robertsson et al., issued September 23, 2003

## European Patents and Application

EP 0 303 746 A1, Hamilton Jr. et al., published February 22, 1989

EP 0 540 582 B1, Junker et al., issued August 31, 1994

EP 0 652 766 B1, O'Connor et al., issued November 8, 2000

EP 0 779 806 B1, Igari et al., issued November 8, 2000

#### Other Documents

BENNANI-BAITI et al., "Physical Linkage of the Human Growth Hormone Gene Cluster and the Skeletal Muscle Sodium Channel a-Subunit Gene (SCN4A) on Chromosome 17," Genomics, 29, 647-652, 1995.

CHANTALAT et al., "The Crystal Structure of Wild-Type Growth Hormone at 2.5 Å Resolution," Protein and Peptide Letters, Vol. 2, 333-340, 1995.

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CLARKSON et al., "Crystallization and X-ray Data Collection on Human Growth Hormone," Journal of Molecular Biology, Vol. 208, 719-721, 1989.

GENENTECH, "Product Information - Nutropin Depot Full Prescribing Information," <a href="http://www.gene.com/gene/products/information/opportunistic/nutropin-depot/insert.jsp">http://www.gene.com/gene/products/information/opportunistic/nutropin-depot/insert.jsp</a>.

HARO et al., "Divalent Metal Cation Chelators Enhance Chromatographic Separation of Structurally Similar Macromolecules: Separation of Human Growth Hormone Isoforms," Journal of Chromatography B, Vol. 720, 39-47, 1998.

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JONES et al., "Crystallization of Authentic Recombinant Human Growth Hormone," Biotechnology, Vol. 5, 499-500, 1987.

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KIM et al., "Microencapsulation of Dissociable Human Growth Hormone Aggregates within Poly(D,L-lactic-co-glycolic acid)," International Journal of Pharmaceutics, Vol. 229(1-2), 107-116, 2201.

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WU et al., "Application of High-Performance Hydrophobic-Interaction Chromatography to the Characterization of Recombinant DNA-Derived Human Growth Hormone," Journal of Chromatography, 500, 595-606, 1990.

Applicants have attached a PTO/SB/08A Form listing these documents. Applicants request that these documents: (1) be fully considered by the Examiner during examination of this application and (2) be printed on any patent that may issue from this application. Applicants also request that a copy of Form PTO/SB/08A, as considered and initialed by the Examiner be returned with the next communication.

The Director is hereby authorized to charge payment of any fees required in connection with this Information

Disclosure Statement to Deposit Account No. 06-1075. A duplicate copy of the accompanying transmittal letter setting forth that authorization is transmitted herewith.

Respectfully submitted,

Margaret A. Pierri (Reg. No. 30,709)

Attorney for Applicants

Wendy A. Petka (Reg. No. 53,459)

Agent for Applicants

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

3 Sheet 1 of

Complete if known				
Application Number	10/749,962			
Confirmation Number	2164			
Filing Date	December 31, 2003			
First Named Inventor Chandrika Govardhan				
Art Unit	1641			
Examiner Name	Christine J. Saoud			
Attorney Docket Number	Altus-4			

U.S. PATENT DOCUMENTS						
Examiner initials*	Cite No.1	Document Number  Number – Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
-		US-4,342,832	08-03-1982	Goeddel et al.		
		US-4,816,568	03-28-1989	Hamilton, Jr. et al.		
		US-4,917,685	04-17-1990	Viswanathan et al.		
		US-5,633,352	05-27-1997	Dalbøge et al.		
		US-5,654,010	08-05-1997	Johnson et al.		
<del>-</del>		US-5,667,808	09-16-1997	Johnson et al.		
		US-5,691,169	11-25-1997	Dalbøge et al.		
		US-5,705,482	01-06-1998	Christensen et al.		
		US-5,734,026	03-31-1998	Florin-Robertsson et al.		
		US-5,780,599	07-14-1998	Junker et al.		
		US-5,788,959	08-04-1998	Singh		
		US-5,849,700	12-15-1998	Sørensen et al.		
		US-5,849,704	12-15-1998	Sørensen et al.		
		US-5,981,485	11-09-1999	O'Conner et al.		
		US-6,022,858	02-08-2000	Sørensen et al.		
		US-6,066,470	05-23-2000	Nishimura et al.		
		US-6,117,984	09-12-2000	Junker et al.		
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		US-6,376,461 B1	04-12-2002	Igari et al.		
		US-6,448,225 B2	09-10-2002	O'Connor et al.		
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		US-2003/0013653 A1	01-16-2003	O'Conner et al.		
		US-2003/0099663 A1	05-29-2003	Fleitmann et al.		
		US-2004/0029777 A1	04-09-2003	Ando et al.		

Examiner	Date	
Signature	Considered	

Express Mail No. EV 371748245 US

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered.

Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional).

See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the script number of the patent document.

Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

Applicant's unique citation of the patent document. a check mark here if English language translation is attached.

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Substitute for form 1449/PTO			0	Complete if known		
				Application Number	10/749,962	
INFO	DRMATI	ON DISC	CLOSURE	Filing Date	2164	
STATEMENT BY APPLICANT				First Named Inventor	December 31, 2003	
			LIOAIII	Art Unit	Chandrika Govardhan	
(use	as many	sheets as	necessary)	Examiner Name	1641	
Sheet	2	of	3	Attorney Docket Number	Altus-4	

		FORE	IGN PATENT D	OCUMENTS		
Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant	T
initials	No.1	Country Code <sup>1</sup> - Number <sup>2</sup> - Kind Code <sup>3</sup>	MM-DD-YYYY	Applicant of Cited Documents	Figures Appear	
		AU 686567	02-12-1998	O'Connor et al.		
		CA 2,086,087	09-14-1999	Junker et al.		
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		WO 94/03198	02-17-1994	O'Connor et al.		
		WO 95/28174	10-26-1995	Clark et al.		
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		WO 96/07399 A1	03-14-1996	Igari et al.		
		WO 96/21459	07-18-1996	Christensen et al.		
		WO 96/21460	07-18-1996	Christensen et al.		Τ
		WO 97/01331	01-16-1997	Okamoto et al.		
		WO 99/55310	11-04-1999	Margolin et al.		
		WO 01/24822 A2	04-12-2001	Fleitmann et al.		ì

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Examiner	Date
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NON PATENT LITERATURE DOCUMENTS						
Examiner initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue numbers (s), publisher, city and/or country where published	Ţ			
		BENNANI-BAITI et al., "Physical Linkage of the Human Growth Hormone Gene Cluster and the Skeletal Muscle Sodium Channel $\alpha$ -Subunit Gene (SCN4A) on Chromosome 17," <i>Genomics</i> , 29, 647-652, 1995.				
		CHANTALAT et al., "The Crystal Structure of Wild-Type Growth Hormone at 2.5 Å Resolution," <i>Protein and Peptide Letters</i> , Vol. 2, 333-340, 1995.				

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017		, , , , , , , , , , , , , , , , , , ,	LIOAIII	Art Unit	Chandrika Govardhan	
(use	e as many she	ets as	necessary)	Examiner Name	1641	
Sheet	3	of	3	Attorney Docket Number	Altus-4	

CLARK et al., "Long-acting Growth Hormones Produced by Conjugation with Polyethylene Glycol," <i>The Journal of Biological Chemistry</i> , Vol. 271, No. 36, 21969-21977, 1996.
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GENENTECH, "Product Information - Nutropin Depot Full Prescribing Information," <a href="http://www.gene.com/gene/products/information/opportunistic/nutropin-depot/insert.jsp">http://www.gene.com/gene/products/information/opportunistic/nutropin-depot/insert.jsp</a> .
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MCPHERSON et al., "The Growth and Preliminary Investigation of Protein and Nucleic Acid Crystals for X-Ray Diffraction Analysis," <i>Methods of Biochemical Analysis</i> , Vol. 23, 249-345, 1976.
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